



<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		PATENT TRADEMARK OFFICE	
		Application Number	
		09/093,533	
		Filing Date	
		Jun 8, 1998	
First Named Inventor		Malcolm	
Group Art Unit		2171	
Examiner Name		Mary Wang	
Total Number of Pages in This Submission	25	Attorney Docket Number	101.1001.02

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached Credit Card Payment Form PTO-2038	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Steven A. Swernofsky Reg. no. 33,040
Signature	
Date	11-15-01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 11-15-01			
Type or printed name	Arlette Malhas, Paralegal		
Signature		Date	11-15-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



22883

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

PATENT TRADEMARK OFFICE Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**Complete if Known**

Application Number 09/093,533

Filing Date 6/8/1998

First Named Inventor Malcolm, et al.

Examiner Name Mary Wang

Group/Art Unit 2171

Attorney Docket No. 101.1001.02

TOTAL AMOUNT OF PAYMENT (\$474.00)

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0365

Deposit Account Name Swernofsky Law Group

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☐ Check ☒ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from Below	Fee Paid
17	20** = 17	18	306.00
2	3** = 2	84	168.00

Multiple Dependent

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$474.00)

\*\*or number previously paid, if greater; For Reissues, see below

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3) (\$)

**SUBMITTED BY**

Name (Print/Type) Steven A. Swernofsky

Registration No. (Attorney/Agent) 33,040

Complete (if applicable)

Telephone 650-947-0700

Signature

Date 11-15-01

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.

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**PATENT (POST-APPLICATION) POSTCARD**

This confirms receipt of the following papers at the USPTO:

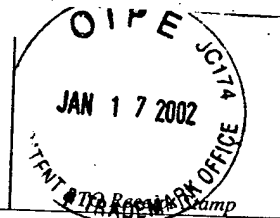
Docket No: 101.1001.02

Serial No: 09/093,533

1st Inventor: Malcolm

Filing Date: 6/8/1998

Title of Inv: Network Object Cache Engine



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|--|---|
| <input checked="" type="checkbox"/> Transmittal Form SB/21                   | <input checked="" type="checkbox"/> Fee Transmittal Form SB/17 (2 copies) |
| <input type="checkbox"/> Check in pymt of fees: Check no: _____              | CC Amnt: \$ 474.00  |
| <input type="checkbox"/> Notice to File Missing Parts (Copy to be Returned)  | <input type="checkbox"/> Declaration(s) or Oath(s)                        |
| <input type="checkbox"/> Power of Attorney                                   | <input type="checkbox"/> 37 CFR 3.73(b) Stmt                              |
| <input type="checkbox"/> Assignment(s)                                       | <input type="checkbox"/> Recordation Cover Sheet                          |
| <input type="checkbox"/> Request for Corrected Filing Rcpt                   | <input type="checkbox"/> Request for Corrected Recordation                |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> 37 CFR 197(e) Statement                          |
| <input type="checkbox"/> PTO Form SB/08A (patents) _____ No. of pgs          | <input type="checkbox"/> PTO Form SB/08B (non-patents) _____ No. of pgs   |
| <input type="checkbox"/> Copies of References                                | Source of References: _____   |
| <input checked="" type="checkbox"/> Response to Office Action dated 09/27/01 | <input type="checkbox"/> Petition for Extension of Time SB/22             |
| <input type="checkbox"/> Preliminary Amendment                               | <input type="checkbox"/> Terminal Disclaimer                              |
| <input type="checkbox"/> Request for Continued Examination (RCE) SB/30       | <input type="checkbox"/> CPA Request Transmittal SB/29 (2 copies)         |
| <input type="checkbox"/> Transmittal of Issue Fees Letter                    | <input type="checkbox"/> Issue Fee Transmittal Part B (2 copies)          |
| <input type="checkbox"/> Transmittal of Formal Drawings Letter               | <input type="checkbox"/> Formal Drawings -- _____ No. of pgs              |
| <input type="checkbox"/> Notice of Appeal                                    | <input checked="" type="checkbox"/> Return Postcard                       |
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Attorney: DCB

Mailed By: AM

PTO Customer No: 22883

Mailing Date: 1-15-01

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JAN 29 2002

SWERNOFSKY  
LAW GROUP

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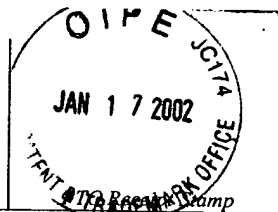
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Attorney: DCB

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Docket No: 101.1001.02 Serial No: 09/093,533  
1st Inventor: Malcolm Filing Date: 6/8/1998  
Title of Inv: Network Object Cache Engine

PTO Receipt Stamp

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